

Use this Application Form if you are an Eligible Hong Kong Employee  
閣下如為合資格香港僱員，請使用本申請表格

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附註：除本申請表格另有界定外，本申請表格所用詞彙與中國國際金融股份有限公司(「本公司」)於二零一五年十月二十七日刊發之招股章程(「招股章程」)及其任何補充資料(如有)界定之詞彙具相同涵義。

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K Offe S ae a be ffe ed d eU ed S ae e a a exe f e a de eU.S. Sec e Ac .

本申請表格及招股章程概不構成在香港以外司法權區要約出售或游說要約購買香港發售股份。若無根據美國證券法登記或豁免登記，香港發售股份不得在美國提呈發售或出售。

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在任何根據當地法例不得發送、派發或複製本申請表格及招股章程的司法權區內概不得發送或派發或複製(不橙的根部軌揮跡就配康系總覽招股章程構成犯！漿砵緒 頓在表徵 7

Signature by the

Date (日期): ...

Number of Hong Kong  
Reserved Shares applied for  
(not less than 19,600  
nor more than 753,600)  
申請香港預留股份  
(不少於 19,600 股股份  
不多於 753,600 股股份)

Total amount of  
share subscription

\* Cheque(s) or bank

\* 支票或銀行

To be completed in  
除另有指明外，

Name (in English)

申請人的英文姓名

Name (in Chinese)

申請人的中文姓名

Position in the Group

(in English)

申請人於本集團的

Telephone

Only an

Hong Kong

be accepted.

地址(以英文填寫)

電話號碼。

只接受香港地址

You must provide your Hong Kong Identity Card, please provide your Hong Kong Identity Card number and a Hong Kong Identity Card with your H Shares and such data would be required for the processing of your application and the issuance of shares.

閣下必須填寫香港身份證號碼或護照號碼，以便處理閣下的申請及發行股份。

及處理H股股份申請，為此，有關閣下的香港身份證號碼或護照號碼必須填寫在申請表格內。

Part of your Hong Kong Identity Card number or passport number may lead to delisting of your shares.

Your banker may require verification of your Hong Kong Identity Card number or passport number may lead to delisting of your shares.

閣下所填的香港身份證號碼或護照號碼可能會導致閣下的股份被取消上市。

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Address 地址

For Company Use 可填

# Use this Application Form if you are an Eligible Hong Kong Employee

## Conditions of your application

### A. Who can apply

1. You must be 18 years of age or older and must have a Hong Kong address.
2. You must be an **Eligible Hong Kong Employee**.
3. You cannot apply for any Hong Kong Reserved Shares if you are within the United States or a U.S. person (within the meaning of Regulation S) or a legal or natural person of the PRC.
4. You must apply as an individual. Joint applications will not be accepted.
5. Unless permitted by the Listing Rules or pursuant to the relevant waiver and consent granted by the Hong Kong Stock Exchange, you cannot apply for Hong Kong Reserved Shares if you are:
  - an existing beneficial owner of the shares in the Company and/or any its subsidiaries;
  - a director or chief executive officer of the Company and/or any of its subsidiaries;
  - a close associate (as defined in the Listing Rules) of any of the above;
  - a connected person (as defined in the Listing Rules) of the Company or will become a connected person of the Company immediately upon completion of the Global Offering; and
  - have been allocated or have applied for any International Offer Shares or otherwise participate in the International Offering.

### B. Lodge only one application for your benefit

Multiple applications or suspected multiple applications will be rejected. All of your applications will be rejected as multiple applications if you make more than one application for Hong Kong Reserved Shares. An Eligible Hong Kong Employee who makes an application for the Hong Kong Reserved Shares on this Application Form may not apply for Hong Kong Offer Shares under the Hong Kong Public Offering or apply for or indicate an interest for International Offer Shares under the International Offering.

### C. Allocation of Hong Kong Reserved Shares

In order to enable Eligible Hong Kong Employees to participate in the Global Offering on a preferential basis as to allocation only, an Eligible Hong Kong Employee is being invited to apply for a maximum of 753,600 Hong Kong Reserved Shares, subject to the terms and conditions set out in the Prospectus and this Application Form. The Hong Kong Reserved Shares are being offered out of the Hong Kong Offer Shares but are not subject to the clawback mechanism as set out in the section headed "Structure of the Global Offering — The Hong Kong Public Offering — Reallocation" in the Prospectus or the Over-allotment Option.

A valid application in respect of a number of Hong Kong Reserved Shares in excess of 753,600 Hong Kong Reserved Shares by an Eligible Hong Kong Employee will be accepted, but will be treated as if it is an application for 753,600 Hong Kong Reserved Shares. Allocation of the Hong Kong Reserved Shares to applications made on this Application Form will be based on the allocation guidelines contained in Practice Note 20 to the Listing Rules and will not be based on identity, seniority, length of service or work performance of an Eligible Hong Kong Employee. Any Hong Kong Reserved Shares not subscribed by Eligible Hong Kong Employees in connection with the Hong Kong Employee Preferential Offering will be available for subscription by the public in Hong Kong under the Hong Kong Public Offering in accordance with the terms and conditions set out in the Prospectus.

Eligible Directors and Close Associates or Eligible Connected Clients who intend to apply for Hong Kong Reserved Shares will not participate in any decision of the Company in relation to the allocation basis for the Hong Kong Employee Preferential Offering.

### D. Effect of completing and submitting this Application Form

By completing and submitting this Application Form, you:

- **warrant** that in making an application on this Application Form, you or any person(s) on whose behalf you may be acting is/are Eligible Hong Kong Employee(s);
- **agree** with the Company and each of our shareholders to observe and comply with the Companies Ordinance, the Companies (Winding Up and Miscellaneous Provisions) Ordinance, the PRC Company Law and the Articles of Association;
- **confirm** that you have read the terms and conditions and application procedures set out in the Prospectus and in this Application Form and agree to be bound by them;
- **confirm** that you have received and read a copy of the Prospectus and have only relied on the information and representations contained in the Prospectus in making your application and will not rely on any other information or representations save as set out in any supplement to the Prospectus;
- **confirm** that you are aware of the restrictions on the Global Offering disclosed in the Prospectus;
- **agree** that none of the Company, the Underwriters' Representative, the Underwriters, their respective directors, officers, employees, partners, agents, advisers and any other parties involved in the Global Offering is or will be liable for any information and representations not contained in the Prospectus (and any supplement thereto);
- **agree** to disclose to the Company, the H Share Registrar, receiving bankers, the Underwriters' Representative, the Underwriters and/or their respective advisers and agents any personal data which they may require about you and the person(s) for whose benefit you have made the application;
- **instruct and authorise** the Company and/or the Underwriters' Representative (or their agents or nominees), as agents of the Company, to execute any documents on your behalf and to do on your behalf all things necessary to effect the registration of any Hong Kong Reserved Shares allocated to you in your name(s), required by the Articles of Association, and otherwise to give effect to the arrangements described in the Prospectus and this Application Form;
- **undertake** to sign all documents and to do all things necessary to enable you to be registered as the holder of the Hong Kong Reserved Shares to be allocated to you, and as required by the Articles of Association;
- if the laws of any place outside Hong Kong are applicable to your application, **agree and warrant** that you have complied with all such laws and none of the Company, the Underwriters' Representative and the Underwriters nor any of their respective officers or advisers will infringe any law outside Hong Kong as a result of the acceptance of your offer to purchase, or any action arising from your rights and obligations under the terms and conditions contained in the Prospectus;
- **agree** (without prejudice to any other rights which you may have) that once your application has been accepted, you may not rescind it because of an innocent misrepresentation;
- (if the application is made by an agent on your behalf) **warrant** that you have validly and irrevocably conferred on the agent all necessary power and authority to make the application;
- **agree** that your application, any acceptance of it and the resulting contract will be governed by and construed in accordance with the laws of Hong Kong;
- **represent, warrant and undertake** that you understand that the Hong Kong Reserved Shares have not been and will not be registered under the U.S. Securities Act and you and any person for whose account or benefit you are applying for the Hong Kong Reserved Shares are outside the United States (as defined in Regulation S) when completing and submitting this Application Form or are a person described in paragraph (h)(3) of Rule 902 of Regulation S;
- **warrant** the truth and accuracy of the information contained in the application;
- **warrant** that this is the only application which has been or will be made for your benefit on a PINK Application Form;
- **undertake and agree** to accept the Hong Kong Reserved Shares applied for, or any lesser number allocated to you under the application;

- **authorise** the Company to place your name on the register of members of the Company as the holder(s) of any Hong Kong Reserved Shares allocated to you, and the any H Share certificate(s) and/or any refund cheque(s) will be sent to the Company and the Company will arrange for onward despatch to you at the address specified in your PINK Application Form or as otherwise notified by you to the Company;
- **authorise** the Company to enter into a contract on your behalf with each of the Directors and officers whereby each such Director and officer undertakes to observe and comply with their obligations to shareholders of the Company, as stipulated in the Articles of Association;
- **agree** that you will not dispose of any legal or beneficiary interests in the Hong Kong Reserved Shares allocated to you on or before April 30, 2016, whether or not you remain as an employee of the Group; and
- **understand** that these declarations and representations will be relied upon by the Company and the Underwriters' Representative in deciding whether or not to allocate any Hong Kong Reserved Shares in response to this application and that you may be prosecuted for making a false declaration.

The Company, the Underwriters' Representative, the Hong Kong Underwriters and their respective directors, officers, advisors, agents and any parties involved in the Global Offering are entitled to rely on any warranty, representation or declaration made by you in this application.

### E. Power of attorney

If your application is made through a duly authorised attorney, the Company and the Underwriters' Representative (or their respective agents and nominees) as agent for the Company may accept your application at their discretion, and subject to any conditions they think fit, including production of evidence of the authority of your attorney. The Underwriters' Representative in its capacity as agent for the Company have full discretion to reject or accept any application, in full or in part, without assigning any reason.

### F. Determination of Offer Price

The Offer Price is expected to be determined on Friday, October 30, 2015. Please refer to the paragraph headed "Pricing of the Global Offering" in "Structure of the Global Offering" of the Prospectus for details.

## Allocation of Hong Kong Reserved Shares

The applications for the Hong Kong Reserved Shares will not be processed and no allocation of any such Hong Kong Reserved Shares will be made until the closing of the application lists. The Company expects to release the final Offer Price, the indication of the level of interest in the International Offering and the basis of allocation of the Hong Kong Reserved Shares available under the Hong Kong Employee Preferential Offering on Friday, November 6, 2015 in South China Morning Post (in English) and Hong Kong Economic Times (in Chinese) and on the websites of the Hong Kong Stock Exchange ([www.hkexnews.hk](http://www.hkexnews.hk)) and the Company ([www.cicc.com](http://www.cicc.com)). The results of allocations of the Hong Kong Reserved Shares and the Hong Kong Identity Card numbers or passport numbers of successful applicants will be made available through a variety of channels, including the websites of the Hong Kong Stock Exchange ([www.hkexnews.hk](http://www.hkexnews.hk)) and the Company ([www.cicc.com](http://www.cicc.com)) as described in the section headed "How to apply for Hong Kong Offer Shares — 11. Publication of Results" in the Prospectus.

## If your application for Hong Kong Reserved Shares is successful (in whole or in part)

The Company will not issue temporary documents of title in respect of the H Shares. H Share certificates will only become valid certificates of title at 8:00 a.m. on Monday, November 9, 2015 provided that the Hong Kong Public Offering has become unconditional in all respects and the right of termination under the Underwriting Agreements and described in the section headed "Underwriting — Underwriting Arrangements and Expenses — Hong Kong Public Offering — Grounds for Termination" in the Prospectus has not been exercised. Investors who trade H Shares prior to the receipt of H Share certificates or prior to the H Share certificates becoming valid certificates of title do so entirely at their own risk. No receipt will be issued for application monies paid.

If your application is wholly or partially successful, the H Share certificates will be sent to the Company and the Company will arrange for onward despatch to you at the address specified in your PINK Application Form or as otherwise notified by you to the Company.

## Circumstances in which you will not be allocated Hong Kong Reserved Shares

You may not be allocated Hong Kong Reserved Shares for any of the following reasons:

- (a) **Your application has been rejected at the discretion of the Company and the Underwriters' Representative or their respective agents or nominees:**

The Company and the Underwriters' Representative (on behalf of the Underwriters) (as agents for the Company) and their respective agents or nominees have full discretion to reject or accept any application, or to accept only part of any application. No reasons have to be given for any rejection or acceptance.
- (b) **Your application has been rejected as a result of the following:**
  - it is a multiple or suspected multiple application;
  - your Application Form is not filled in correctly in accordance with the instructions;
  - your payment is not made correctly;

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## Use this Application Form if you are an Eligible Hong Kong Employee

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### How to make your application

1. Use the table set out below to calculate how much you must pay for the number of Hong Kong Reserved Shares you want to subscribe for. Your application must be for a minimum of 19,600 Hong Kong Reserved Shares. Applications must be in one of the numbers set out in the table below. No application for any other number of Hong Kong Reserved Shares will be considered and any such application is liable to be rejected. The maximum number of Hong Kong Reserved Shares that you may apply for is 753,600. The table sets out the total amount payable for such numbers of Hong Kong Reserved Shares up to 753,600 Hong Kong Reserved Shares. You must pay the maximum Offer Price of HK\$10.28 per Hong Kong Reserved Share plus a brokerage fee of 1.0%, a SFC transaction levy of 0.0027% and a Hong Kong Stock Exchange trading fee of 0.005%.
2. Complete the form and sign it. Only written signatures (and not personal chops) will be accepted.
3. Staple your cheque or banker's cashier order to the form. You must pay for the Hong Kong Reserved Shares applied for by one **cheque** or by one **banker's cashier order**. Each application must be accompanied by either a separate cheque or banker's cashier order.

## 申請條件

### 甲. 可提出申請的人士

- 閣下必須年滿18歲且有香港地址。
- 閣下必須為合資格香港僱員。
- 如閣下身處美國境內或為美國人士(定義見S規例)或中國法人或自然人，則不得申請任何香港預留股份。
- 閣下必須以個人身份申請。聯名申請將不獲受理。
- 除上市規則容許或根據香港聯交所授予的相關豁免及同意，閣下如屬下列人士，則不得申請香港預留股份：
  - 本公司及/或其任何子公司股份的現有實益擁有人；
  - 本公司及/或其任何子公司的董事或最高行政人員；
  - 上述任何人士的緊密聯繫人(定義見上市規則)；
  - 本公司的關連人士(定義見上市規則)或緊隨全球發售完成後成為本公司關連人士的人士；及
  - 已獲分配或已申請認購任何國際發售股份或以其他方式參與國際發售的人士。

### 乙. 僅可為閣下本身利益提交一份申請

重複申請或疑屬重複申請概不受理。如閣下提出一份以上香港預留股份之申請，則閣下所有申請將被視為重複申請而概不受理。  
以本申請表格申請香港預留股份的合資格香港僱員，不可申請根據香港公開發售或國際發售的香港發售股份，或申請或表示有興趣申請根據國際發售或公開發售的國際發售股份。

### 丙. 香港預留股份的分配

為確保合資格香港僱員僅以分配形式按優先基準參與全球發售，合資格香港僱員獲邀根據招股章程及本申請表格所載條款及條件申請合共最多753,600股香港預留股份。從香港發售股份中提呈發售的香港預留股份不受招股章程「全球發售的架構 - 香港公開發售 - 重新分配」一節所載的回補機制或超額配售權所限。  
合資格香港僱員所申請香港預留股份數目超過753,600股香港預留股份的有效申請將獲受理，惟將視為申請753,600股香港預留股份處理。以本申請表格提出的申請所獲香港預留股份的分配，將按上市規則第20項應用指引的分配指引進行，並不會以合資格香港僱員的身份、資歷、服務年期或工作表現為基準。香港僱員優先發售中未獲合資格香港僱員認購的香港預留股份，將按招股章程所載條款及條件可在香港公開發售下供香港公眾人士認購。  
任何擬申請香港預留股份的合資格董事及緊密聯繫人或合資格關連客戶均不會參與決定本公司有關香港僱員優先發售的分配基準。

### 丁. 填妥及提交本申請表格的效果

一經填妥及遞交本申請表格，即表示閣下：  
保證以本申請表格提出申請時，閣下或閣下可能代其行事之任何人士均為合資格香港僱員；  
向本公司、本公司各股東表示同意，將遵守本公司之章程(清盤及雜項條文(舉例)中國公司法)及本公司章程；  
確認閣下已知悉招股章程、本申請表格所載的條款及條件及申請程序，並同意受其約束；  
確認閣下已接獲及細閱招股章程，並在提出申請時僅依據招股章程載列的資料及陳述，且除招股章程任何補充文件外，將不會依賴任何其他資料或陳述；  
確認閣下已知悉招股章程所披露有關全球發售的限制；  
同意本公司、承銷商代表、承銷商、彼等各自的董事、高級職員、僱員、合夥人、代理、顧問及參與全球發售的任何其他方毋須對招股章程(及其任何補充文件)未有載列的任何資料及陳述負責；  
同意應本公司、H股證券登記處、收款銀行、承銷商代表、承銷商及或彼等各自的顧問及代理的要求，向彼等披露有關閣下或閣下為其利益而代為提出申請的人士的任何個人資料；  
指示及授權本公司及或作為本公司代理的承銷商代表(或彼等的代理或代名人)，為按照公司章程的規定登記閣下以閣下名義獲分配的任何香港預留股份，以及為促使招股章程及本申請表格所述的安排生效，而代表閣下簽署任何文件，及代表閣下採取一切所需行動；  
承諾為使閣下按公司章程的規定，登記為閣下獲分配的香港預留股份的持有人而簽署所有文件，以及採取一切所需行動；  
若香港境外任何地方的法例適用於閣下的申請，則同意及保證閣下已遵守所有有關法例，及本公司、承銷商代表及承銷商和彼等各自的高級職員或顧問概不會因接受閣下的購買要約，或閣下在招股章程所載的條款及條件相關的權利及責任所引致的任何行動，而違反香港境外的任何法例；  
同意(在不影響閣下可能享有的任何其他權利的情況下)閣下的申請一經獲接納，即不得因無意的失實陳述而撤銷；  
(倘由代理代表閣下提出申請)保證閣下已有效及不可撤回地賦予該名代理提出申請所需的一切權力及授權；  
同意閣下的申請、申請的接納及據此訂立的合約將受香港法例規管，並按其註釋；

聲明、保證及承諾 閣下明白香港預留股份並無亦不會根據美國證券法登記，以及在填寫及交回本申請表格時，閣下及閣下代為或為其利益而申請香港預留股份的任何人士均身處美國境外(定義見S規例)，或是S規例第902條第(b)(3)段所述的人士；

保證申請所載的資料真實及準確；  
保證本申請為透過粉紅色申請表格為閣下的利益已經或將要提出的唯一申請；  
承諾及同意接納所申請數目或根據申請分配予閣下的較少數目的香港預留股份；  
授權本公司將閣下的姓名列載於本公司的股東名冊，作為閣下獲分配的任何香港預留股份的持有人，並授權本公司及或其代理將任何H股股票/或任何退款支票送交本公司，而本公司將安排轉交至本粉紅色申請表格所示或閣下另行通知本公司之地址；  
授權本公司代表閣下與各董事及高級職員訂立合約，據此各有關董事及高級職員承諾將按公司章程規定，履行及遵守其對本公司股東應負的責任；  
同意閣下在二零一六年四月三十日或之前不會處置分配予閣下的香港預留股份的任何法定或實益權益；及  
明白本公司及承銷商代表將依據該等聲明及陳述，以決定是否應本申請分配任何香港預留股份，而閣下如作出虛假聲明，可能會被檢控。

本公司、承銷商代表、香港承銷商及彼等各自的董事、高級職員、顧問、代理人及參與全球發售的任何各方均有權依賴閣下在申請中所作的任何保證、陳述或聲明。

### 戊. 授權書

如閣下透過正式授權的代理人提出申請，本公司及承銷商代表(或其各自的代理人或代名人)作為本公司的代理人可酌情並在該申請符合其認為合適的任何條件(包括出示閣下獲授權人士的授權證明)的情況下接納閣下的申請。作為本公司代理人的承銷商代表可全權酌情決定全部或部分拒絕或接受任何申請，而毋須申述任何理由。

### 己. 釐定發售價

發售價預期於二零一五年十月三十日(星期五)釐定。詳情請參閱招股章程「全球發售的架構」一節「全球發售的定價」一段。

### 香港預留股份的分配

本公司於截止辦理申請登記後方會開始處理香港預留股份之申請及分配任何該等香港預留股份。本公司預期於二零一五年十一月六日(星期五)於南華早報(英文)及香港經濟日報(中文)及香港聯交所網站(www.hkexnews.hk)及本公司網站(www.cicc.com)公佈最終發售價、國際發售之踴躍程度及香港僱員優先發售的香港預留股份之分配基準。  
按招股章程「如何申請香港發售股份 - 11.公佈結果」一節所述，香港預留股份的分配結果將根據招股章程「全球發售的架構」一節「全球發售的定價」一段。

本倘閣下的申請、部或部分拒授權，即 認滋壺 辦 擬 認 申請表格所示或 閣與失可能代準二嘗能何理

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## 閣下如為合資格香港僱員，請使用本申請表格

倘根據公司(清盤及雜項條文)條例第40條(公司(清盤及雜項條文)條例第342E條所適用者)須對招股章程負責的人士根據該條例發出公佈，免除或限制其對招股章程所負的責任，則閣下方可於開始辦理申請登記後第五日(就此不包括星期六、星期日或香港公眾假期)之前撤回閣下的申請。倘就招股章程發出任何補充文件，已遞交申請的申請人將獲通知需確認其申請。倘申請人接獲通知但並未根據所獲通知的程序確認其申請，則已提交的未獲確認的申請將無效。除上文所述者外，申請一經提出即不可撤回，且申請人將視為按已增補的招股章程提出申請。倘閣下的申請獲接納，則不可撤銷。就此而言，在南華早報(英文)及香港經濟日報(中文)公佈分配基準的通知將構成接納未遭拒絕受理的申請，而倘分配基準須受若干條件規限或以抽籤方式分配，則接納須分別待該等條件達成後方可作實或以抽籤結果為準。

(e) 倘香港預留股份的配發失效：

倘香港聯交所上市委員會於下列任何期間未批准H股上市，則配發予閣下的香港預留股份將作廢：

截止辦理申請登記日期後三個星期內；或

香港聯交所上市委員會於截止辦理申請登記日期後三個星期內通知本公司的較長期間，該期限最長不超過六個星期。

### 退還申請股款

如閣下基於(但不限於)上述任何理由而未獲得任何香港預留股份，閣下之申請款項，包括有關之1.0%經紀佣金、0.0027%香港證監會交易徵費及0.005%香港聯交所交易費，將不計利息退還予閣下。

如閣下之申請只獲部分接納，閣下申請款項之適當部分，包括有關之1.0%經紀佣金、0.0027%香港證監會交易徵費及0.005%香港聯交所交易費，將不計利息退還予閣下。如最終釐定之發售價低於申請時支付之最高發售價(不包括1.0%經紀佣金、0.0027%香港證監會交易徵費及0.005%香港聯交所交易費)，則閣下多繳之申請款項，連同有關之1.0%經紀佣金、0.0027%香港證監會交易徵費及0.005%香港聯交所交易費，將不計利息退還予閣下。於寄發退款支票日期前該等款項應計之一切利息將撥歸本公司所有。在出現涉及大量超額認購之特殊情況下，本公司及承銷商代表可酌情決定將香港預留股份之若干小額申請支票不予過戶(成功申請除外)。

退款支票將劃線註明「只准入抬頭人賬戶」，並以閣下作為抬頭人。閣下所提供之香港身份證號碼護照號碼之部分或會列印於閣下之退款支票(如有)。上述資料亦會轉交予第三方以便退款。於兌現閣下之退款支票前，閣下之銀行或須核實閣下之香港身份證號碼護照號碼。倘閣下於申請表格中填寫之香港身份證號碼護照號碼有誤，或會導致閣下之退款支票(如有)兌現延誤或失效。

任何退款支票將送交貴公司，而貴公司將安排轉交至本粉紅色申請表格所示或閣下另行通知貴公司之地址。

### 個人資料

香港法例第486章香港個人資料(私隱)條例(「條例」)中的主要條文已於一九九六年十二月二十日在香港生效。此項個人資料收集聲明向本公司股份申請人及持有人說明本公司及本公司H股證券登記處就個人資料及條例而制訂的政策及慣例。

(a) 收集閣下個人資料的原因

本公司證券申請人或登記持有人申請本公司證券或將本公司證券轉往其名下，或將名下本公司證券轉讓予他人，或要求本公司H股證券登記處提供服務時，須不時向本公司及本公司H股證券登記處提供其最新的準確個人資料。倘未有提供所須資料，

可能導致本公司或H股證券登記處拒絕閣下的證券申請或延誤或無法進行過戶或提供服務，同時亦可能阻礙或延誤登記或轉讓閣下成功申請的香港發售股份及或寄發股票及或閣下應收的退款支票。證券持有人所提供的個人資料如有任何錯誤，必須即時知會本公司及本公司H股證券登記處。

(b) 目的

證券申請人及持有人的個人資料可就以下用途以任何方式被使用、持有及或保存：處理閣下的申請及退款支票(如適用)，核實是否符合本申請表格及招股章程所載的條款及申請手續，以及公佈香港發售股份的配發結果；確保遵守香港及其他地區的一切有關法律及法規；登記新發行證券或以證券持有人(包括香港結算代理人(如適用))的名義轉讓或受讓的證券；保存或更新本公司證券持有人的名冊；核實或協助核實簽名、任何其他決卻印月 6 扇瘋庇嗣 鎮貴之布芥 厥矢摩良手